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## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: September 20, 2006 Name: Shelly Kean

Signature:

BRINKS  
HOFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Wen Fei Yu

Examiner: Leonard R. Leo

Application No.: 10/643,406

Art Unit: 3753

Filing Date: August 19, 2003

Attorney Docket No: 10541-1850

Title: HEADER FOR HEAT EXCHANGER

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

Amendment Under 37 CFR 1.111; Two(2) Sheets of Drawings (Figs. 2A, 2B and 4), pages 1/4 and 3/4  
 Return Receipt Postcard

## Fee calculation:

No additional fee is required.  
 Small Entity.  
 An extension fee in an amount of \$\_\_\_\_ for a \_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
 A petition or processing fee in an amount of \$\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
 An additional filing fee has been calculated as shown below:

|                                           |                                  |       |                                 | Small Entity  |         | Not a Small Entity |    |          |           |
|-------------------------------------------|----------------------------------|-------|---------------------------------|---------------|---------|--------------------|----|----------|-----------|
|                                           | Claims Remaining After Amendment |       | Highest No. Previously Paid For | Present Extra | Rate    | Add'l Fee          | or | Rate     | Add'l Fee |
| Total                                     | 11                               | Minus | 20                              | 0             | x \$25= |                    |    | x \$50=  | 0         |
| Indep.                                    | 1                                | Minus | 3                               | 0             | x 100=  |                    |    | x \$200= | 0         |
| First Presentation of Multiple Dep. Claim |                                  |       |                                 |               | +\$180= |                    |    | +\$360=  | 0         |
|                                           |                                  |       |                                 |               | Total   | \$                 |    | Total    | \$0       |

## Fee payment:

A check in the amount of \$\_\_\_\_ is enclosed.  
 Please charge Deposit Account No. 06-1500 in the amount of \$\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
 Payment by credit card in the amount of \$\_\_\_\_ (Form PTO-2038 is attached).  
 The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 06-1500.

Respectfully submitted,

Eric J. Sosenko (Reg. No. 34,440)

September 20, 2006  
Date



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MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT UNDER 37 CFR 1.111**

In response to the Office Action mailed June 20, 2006, Applicant respectfully submits this paper comprising the following parts:

|      |                            |        |
|------|----------------------------|--------|
| I.   | Listing of the Claims      | Page 2 |
| II.  | Amendments to the Drawings | Page 5 |
| III. | Remarks                    | Page 6 |

Applicant notes that the Transmittal to which this paper is attached includes a Certificate of Facsimile under 37 C.F.R §1.8; and a fee statement calculating any fee(s) presently due in connection with the filing of this paper, along with an authorization to charge any fee deficiency to Deposit Account No. 06-1500.